

IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
SAN ANTONIO DIVISION

IN RE:	§	
	§	
DEEPROOT CAPITAL MANAGEMENT,	§	BANKRUPTCY No. 21-51523-MMP
LLC ET AL., <sup>1</sup>	§	
	§	
DEBTORS	§	JOINTLY ADMINISTERED
	§	
JOHN PATRICK LOWE,	§	
CHAPTER 7 TRUSTEE	§	
FOR THE BANKRUPTCY ESTATE	§	
OF DEEPROOT CAPITAL	§	
MANAGEMENT, LLC ET AL.,	§	ADV. PROC. NO. _____
PLAINTIFF	§	
	§	
v.	§	
	§	
INF SOLUTIONS, LLC, SCOTT MILBOURNE,	§	
AND RAPHAEL VALLIER,	§	
DEFENDANTS	§	

## PLAINTIFF'S ORIGINAL COMPLAINT

Plaintiff, John Patrick Lowe, Chapter 7 Trustee (“**Plaintiff**” or “**Trustee**”) for the jointly administered Bankruptcy Cases of deeproot Capital Management, LLC et al. (“**deeproot**”)<sup>2</sup> hereby files this Original Complaint, respectfully showing the Court as follows:

<sup>1</sup> The jointly administered chapter 7 cases, along with their respective case numbers and the last four digits of each Debtor's federal tax identification number, are: In Re: Policy Services, Inc. 21-51513 (2864), In Re: Wizard Mode Media, LLC, 21-51514 (3205), In Re: deeproot Pinball LLC, 21-51515 (0320), In Re: deeproot Growth Runs Deep Fund, LLC, 21-51516 (8046), In Re: deeproot 575 Fund, LLC, 21-51517 (9404), In Re: deeproot 3 Year Bonus Income Debenture Fund, LLC, 21-51518 (7731), In Re: deeproot Bonus Growth 5 Year Debenture Fund, LLC, 21-51519 (9661), In Re: deeproot Tech LLC, 21-51520 (9043), In Re: deeproot Funds LLC, 21-51521 (9404), In Re: deeproot Studios LLC, 21-51522 (6283), and In Re: deeproot Capital Management, LLC, 21-51523 (2638) (collectively, the “**Bankruptcy Cases**”).

<sup>2</sup> The debtors—Policy Services, Inc., Wizard Mode Media, LLC, deeproot Pinball LLC, deeproot Growth Runs Deep Fund, LLC, deeproot 575 Fund, LLC, deeproot 3 Year Bonus Income Debenture Fund, LLC, deeproot BonusGrowth 5 Year Debenture Fund, LLC, deeproot Tech LLC, deeproot Funds, LLC, deeproot Studios, LLC, and deeproot Capital Management, LLC—are referred to herein as “**Debtors**” or “**deeproot Entities**.”

**I. PRELIMINARY STATEMENT**

1. From late 2012 to mid-2021, Robert J. Mueller (“**Mueller**”), the sole principal and manager of the Debtors, orchestrated a Ponzi scheme wherein he persuaded investors (typically retirees) to cash out annuities and individual retirement accounts and invest the funds in Mueller’s various investment funds, including but not limited to the deeproot BonusGrowth 5 Year Debenture Fund, LLC (the “**5 Year Debenture Fund**”), the deeproot 575 Fund, LLC (the “**575 Fund**”) and deeproot Growth Runs Deep Fund, LLC (the “**dGRD Fund**” and collectively the “**Subsidiary Funds**”). Beginning in 2012, the deeproot Entities offered and sold life settlements. However, after the Texas Supreme Court’s 2015<sup>3</sup> decision holding that life settlements constituted securities under the Texas Securities Act, the deeproot Entities began to offer and sell debenture bonds. Investors would be located through insurance agents, wealth advisors, and other financial professionals (the “**Finders**”). The vast majority of the Finders were not licensed to sell securities as broker-dealers or registered investment advisors. Monies invested in the Subsidiary Funds were eventually transferred to deeproot Funds, LLC before being paid out to investors in the form of interest payments, dividend payments, or withdrawals of principal. deeproot Funds, LLC was the parent of the Subsidiary Funds, and Policy Services, Inc. was the parent of deeproot Funds, LLC.

2. On August 20, 2021, a few weeks prior to the filing of the Debtors’ bankruptcy cases, the United States Securities and Exchange Commission (“**SEC**”) initiated a civil action against Mueller and several of his entities for violations of federal securities laws.<sup>4</sup> As a result of that investigation, it became clear that Mueller not only funneled money to his other related entities from the investment funds and used investors’ money to prop up his ultimately unsuccessful

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<sup>3</sup> *Life Partners, Inc. v. Arnold*, 464 S.W.3d 660, 667 (Tex. 2015).

<sup>4</sup> *Securities and Exchange Commission v. Robert J. Mueller et al.*, Case No. 5:21-cv-00785-XR (W.D. Tex.).

pinball business, but Mueller also used new investor money to pay moneys owed to earlier investors, making the deeproot Entities a Ponzi scheme. Almost all of the deeproot Entities' investors lost every dollar they invested.

3. The Finders contracted with deeproot Funds, LLC and received finders' fees and commissions in exchange for finding new investors into the deeproot Entities' Ponzi scheme. The commissions and fees received by Finders that were not registered as investment advisors or broker-dealers are contrary to securities laws and these unregistered finders are liable to investors and creditors of the deeproot Entities.

## II. PARTIES

4. Plaintiff is the duly qualified and acting Chapter 7 Trustee of the Debtors' jointly administered Bankruptcy Cases. The Trustee brings this adversary proceeding pursuant to Federal Rule of Bankruptcy Procedure 7001, 28 U.S.C. § 157, and all other applicable law.

5. Defendant INF Solutions, LLC ("**INF**") is a Texas limited liability company with its principal place of business in Spring, Texas. It may be served with process via United States First Class Mail to its Registered Agent, Raphael Vallier, at 16880 Stuebner Airline Rd., Suite A, Spring, Texas 77379, or wherever else he or anyone authorized to accept service on its behalf may be found.

6. Defendant Scott Milbourne ("**Milbourne**") is an individual residing in Spring, Texas. He may be served via United States First Class Mail to 702 Spring Pines Dr., Spring, Texas 77386, or wherever else he may be found.

7. Defendant Raphael Vallier ("**Vallier**" and, collectively with INF and Milbourne, the "**Defendants**") is an individual residing in Houston, Texas. He may be served via United

States First Class Mail to 4614 Fountainhead Drive, Houston, Texas 77066, or wherever else he may be found

### **III. JURISDICTION AND VENUE**

8. This Court has jurisdiction over this adversary proceeding pursuant to 28 U.S.C. §§ 1331 and 1334 and Fed. R. Bankr. P. 7001. This adversary proceeding constitutes a core proceeding pursuant to 28 U.S.C. § 157. To the extent necessary, this Court has supplemental jurisdiction pursuant to 28 U.S.C. § 1367.

9. To the extent the reference is withdrawn or the Bankruptcy Court is unable to enter a final judgment, Plaintiff requests the Bankruptcy Court be permitted and assigned to preside over all pre-trial matters, including the issuance of findings of fact and conclusions of law.

10. Venue in this adversary proceeding is proper in this Court pursuant to 28 U.S.C. § 1409.

### **IV. FACTS**

11. Mueller served as the principal of all of the deeproot Entities and orchestrated a Ponzi scheme wherein he offered and sold securities to individuals for investment in pooled investment funds. Mueller then used the invested money to fund his other business ventures, including his pinball machine business. He also used the money to fund his lavish lifestyle. Crucially, Mueller used later investors' money to pay fictional returns to earlier investors.

#### **A. The deeproot Entities and the Ponzi Scheme**

12. A Ponzi scheme is “a fraudulent investment scheme in which money contributed by later investors generates artificially high dividends or returns for the original investors, whose example attracts even larger investments.” BLACK’S LAW DICTIONARY, Ponzi scheme (11th ed. 2019). A Ponzi scheme operates by using money from new investors to pay earlier investors,

typically “without any operation or revenue-producing activity other than the continual raising of new funds.” *Id.* “[A] Ponzi scheme is, as a matter of law, insolvent from its inception.” *Janvey v. Alguire*, 647 F.3d 585, 597 (5th Cir. 2011).

13. The deeproot Entities commenced operations in 2012. The first entity formed was Policy Services, Inc. deeproot Funds, LLC is a direct subsidiary of Policy Services, Inc. The Subsidiary Funds were formed as direct subsidiaries of deeproot Funds, LLC. A review of the deeproot Entities’ QuickBooks files and other financial books and records revealed that all of the Finders that contracted to find investors for deeproot Funds, LLC, were paid commissions and fees from Policy Services, Inc.

14. Policy Services, Inc. and its subsidiaries never received an initial equity investment, and the deeproot Entities were all insolvent as of inception in 2012 and continued to operate at a loss. The deeproot Entities gave the appearance of profitability, which Mueller used to entice new investors and obtain new money from investors. The deeproot Entities offered investments at higher-than-market levels of return—for example, deeproot BonusGrowth 5 Year Debenture Fund, LLC’s five-year debenture bonds were offered for a 7% return in 2014, which was nearly seven times more than the national rate for five-year debenture bonds at the time, according to data available from the Board of Governors of the Federal Reserve. *See Exhibit A.*

15. There was no recorded capital investment on the balance sheet, and disbursements to Mueller and others were improperly recorded as assets rather than expenses, further boosting the appearance of profitability. After recharacterizing the disbursements as expenses, the equity for Policy Services, Inc. was \$(1,490,187) for the year ending on December 31, 2013, and \$(423,785) for the year ending on December 31, 2012. Policy Services and its subsidiaries had minimal revenue and had no net income as of at least December 31, 2012. Policy Services, Inc.

continued to operate at a loss from the time of its inception and all of the deeproot Entities remained insolvent until filing for bankruptcy on December 9, 2021 (“**Petition Date**”).

16. Since there was no source of revenue, the deeproot Entities relied on newly invested money to fund operations and make payments to earlier investors. From 2013 until 2021, deeproot Funds, LLC used new investor money to pay interest and dividends to earlier investors. For example, during the final three years of the deeproot Entities’ operation, the following payments were made: (1) in 2019, deeproot Funds, LLC received \$18,300,190.00 from new investors and used \$1,074,885.00 to previous investors; (2) in 2020, deeproot Funds, LLC received \$7,093,055 from new investors and used \$1,333,554.00 to pay previous investors; and (3) in 2021, deeproot Funds, LLC received \$10,827,147 from new investors and used \$918,391.00 to pay previous investors.

17. Based upon the books and records of deeproot Funds, LLC from 2019 and 2021, the entity only generated \$865 in revenue during the nine years of its existence. Policy Services, Inc. had only minimal revenue from the time of its inception in 2012.

18. On December 9, 2021, the deeproot Entities each filed for relief under title 11 of the United States Code. The Bankruptcy Cases were ordered to be jointly administered under the lead case *In re: deeproot Capital Management, LLC*, 21-51523.

19. On or about December 21, 2021, John Patrick Lowe was appointed as Chapter 7 Trustee of the Debtors’ jointly administered Bankruptcy Cases.

## **B. Finders**

20. As the principal of the deeproot Entities, Mueller (through deeproot Funds, LLC) contracted with Finders all across the country to locate new investors and raise money for the deeproot Entities. A securities broker-dealer is defined as “any person engaged in the business of

effecting transactions for the account of others.” 15 U.S.C. § 78c. Broker-dealers are required to register as such with the SEC. 15 U.S.C. § 78o. Prior to 2015, the deeproot Entities offered and sold life settlements. After the Texas Supreme Court’s 2015 decision holding that life settlements are securities, the deeproot Entities offered securities in the form of debenture bonds.<sup>5</sup>

21. The vast majority of the deeproot Entities’ contracted Finders were unregistered as broker-dealers despite the fact that they engaged in transactions to facilitate the sale of securities, including debenture bonds and life settlements.<sup>6</sup> The Finders that were registered investment advisors or broker-dealers failed to conduct the requisite due diligence into the financial condition of the deeproot Entities when making investment recommendations to clients. The deeproot Entities relied on these Finders to bring in a steady flow of money because there was no other source of revenue for the deeproot Entities other than newly invested money because the deeproot Entities continuously operated at a loss.

22. Monies invested with one of the Subsidiary Funds were eventually transferred to deeproot Funds, LLC, which was the principal entity that handled payments to investors, before being transferred elsewhere. The Finders typically entered into contracts with deeproot Funds, LLC to be paid fees (the “**Finder Fees**”) based off of the amount of money invested in one of the

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<sup>5</sup> The deeproot Entities obtained Form D exemptions from the SEC to offer these securities.

<sup>6</sup> Courts have recognized a so-called “finder’s exception,” which permits a person to “perform a narrow scope of activities without triggering the b[r]oker/dealer registration requirements,” such as “[m]erely bringing together the parties to transactions, even those involving the purchase and sale of securities, is not enough” to warrant broker registration. *S.E.C. v. Kramer*, 778 F. Supp. 2d 1320, 1336 (M.D. Fla. 2011) (quoting *Salamon v. Teleplus Enter., Inc.*, No. 05-2058 (WHW), 2008 WL 2277094, at \*8 (D. N.J. 2008), and *Apex Global Partners, Inc. v. Kaye/Bassman Intern. Corp.*, No. 3:09-cv-637-M, 2009 WL 2777869, at \*3 (N.D. Tex. Aug. 31, 2009)). The most commonly cited factors as to whether someone was acting as a broker with respect to a given transaction are: “whether a person (1) works as an employee of the issuer, (2) receives a commission rather than a salary, (3) sells or earlier sold the securities of another issuer, (4) participates in negotiations between the issuer and investor, (5) provides either advice or a valuation as to the merit of an investment, and (6) actively (rather than passively) finds investors.” *Id.* at 1334. “[T]ransaction-based compensation” is one of the hallmarks of being a broker-dealer. *Kramer*, 778 F. Supp. 2d at 1336 (citing *Cornhusker Energy Lexington, LLC v. Prospect St. Ventures*, 2006 WL 2620985, at \*6 (D. Neb. 2006).

deeproot Entities by new investors. The Finder Fees were paid to Finders from Policy Services, Inc. regardless of where the new investor invested the monies. Generally, Finders Fees were paid based on the below investment levels. The transaction-based Finders Fees are directly tied to the amount of the investment.

- i. The Fee for the 575 Periodic election ('the 575-P') shall be calculated as \$7,000.00 (the 575-P base rate) for each \$100,000.00 of principal.
- ii. The Fee for all other Securities shall be calculated as \$8,000.00 (base rate) for the first \$100,000.00 of principal, and an additional \$500.00 (to the previous incremental fee amount) enhancement for each additional \$100,000.00 of principal invested.
- iii. Any principal that does not give rise to a consideration of a fee may be paid at a later time as *hanging surplus*, as provided in (c) below.
- iv. Example #1: if an Offeree invests \$125,000.00, the Fee would be \$7,000.00 for the 575-P, or \$8,000.00 for all other Securities; with a potential hanging surplus of \$25,000.
- v. Example #2: if an Offeree invests \$286,000.00, the Fee would be \$14,000.00 for the 575-P, or \$16,500.00 [\$8,000 + \$8,500] for all other Securities; with a potential hanging surplus of \$86,000.
- vi. Example #3: if an Offeree invests \$499,999.99 the Fee would be \$28,000.00 for the 575-P, or \$35,000.00 [\$8,000 + \$8,500 + \$9,000 + \$9,500] for all other Securities; with a potential hanging surplus of \$99,999.99.

**a. Finder Agreements with Defendants**

23. From July 13, 2016 to March 18, 2021, INF received \$555,115.91 from Policy Services, Inc. (the "**INF 544 Transfers**"). A true and correct copy of Policy Services, Inc.'s QuickBooks records showing the INF 544 Transfers is attached hereto as Exhibit B. The QuickBooks records also show that the INF 544 Transfers were booked as both Finders Fees and Commission Expenses. *Id.*

24. During the four years preceding Petition Date, INF received \$503,115.91 from Policy Services, Inc. (the "**INF 544 CF Transfers**"). A true and correct copy of Policy Services, Inc.'s QuickBooks records showing the INF 544 CF Transfers is attached hereto as Exhibit C.

25. During the two years preceding Petition Date, INF received \$90,544.91 from Policy Services, Inc. (the "**INF 548 Transfers**"). A true and correct copy of Policy Services, Inc.'s QuickBooks records showing the INF 548 Transfers is attached hereto as Exhibit D.



26. Based on the records available from the Texas Secretary of State, Vallier is the principal of INF. A true and correct copy of the Texas Secretary of State record for INF is attached hereto as Exhibit E.

27. A review of the Debtors' books and records revealed that INF executed numerous finder agreements with deeproot Funds, LLC (the "**Finder Agreements**"). Specifically, the INF agreements were executed as follows: eight (8) Standard Four Month Finder Agreement signed by Raphael Vallier; four (4) Standard Four Month Finder Agreements signed by Scott Milbourne (the "**INF Finder Agreements**"). Vallier also signed the following Finder Agreements in his individual capacity: three (3) Standard Four Month Finder Agreements; and two (2) Standard Six Month Finder Agreements (the "**Vallier Finder Agreements**"). The Finder Agreements provided that the Defendants would receive Finders Fees for locating new investors for the Subsidiary Funds.

28. Neither Vallier nor Milbourne are registered investment advisors or broker-dealers. INF is not a registered investment firm.

#### **V. CAUSES OF ACTION**

29. The Trustee brings this adversary proceeding to recover these fraudulently transferred funds for the benefit of creditors of Policy Services, Inc.

30. Paragraphs 1 through 28 are incorporated herein by reference.

#### **Count 1 – Avoidance of Fraudulent Transfer under 11 U.S.C. §§ 548(a)(1)(A) (INF) (Actual Fraud)**

31. Pursuant to 11 U.S.C. § 548(a)(1)(A), the Trustee files this complaint seeking to avoid fraudulent transfers of property of Policy Services, Inc. to INF during the two years prior to Petition Date, and for damages against the fraudulent transferees of Policy Services, Inc. during the applicable time period.

32. The INF 548 Transfers in the amount of \$90,544.91 were made within the two years prior to Petition Date. Exhibit D. The INF 548 Transfers constitute a transfer of Policy Services, Inc.'s interest in property. The INF 548 Transfers were not made in exchange for adequate consideration for Debtor Policy Services, Inc.

33. The INF 548 Transfers were made with an actual intent to hinder, delay or defraud the creditors of Debtor Policy Services, Inc., as evidenced by the existence of a Ponzi scheme wherein interest and dividend payments were made to earlier investors from new investor money.

34. Debtor Policy Services, Inc. was insolvent at the time of its creation and at the time of each of the INF 548 Transfers or became insolvent as a result of the INF 548 Transfers, in that (a) the sum of Policy Services, Inc.'s debts were greater than all of Policy Services, Inc.'s assets at a fair valuation; (b) Policy Services, Inc. was engaging in or about to engage in a business or transaction for which Policy Services, Inc.'s remaining assets were unreasonably small in relation to the business or transaction; or (c) Policy Services, Inc. was intending to incur, or believed or reasonably should have believed that it would incur debts beyond its ability to pay such debts as they became due.

35. There was no capital infusion at the time Policy Services, Inc. was created.

36. The INF 548 Transfers constituted fraudulent transfers under 11 U.S.C. §548(a)(1)(A), such that the Trustee is entitled to a judgment in the amount of \$90,544.91 on behalf of Debtor Policy Services, Inc. to recover the INF 548 Transfers from INF.

**Count 2 – Avoidance of Fraudulent Transfer under 11 U.S.C. § 548(a)(1)(B) (INF) (Constructive Fraud)**

37. Pursuant to 11 U.S.C. § 548(a)(1)(B), the Trustee files this complaint seeking to avoid fraudulent transfers of property of Policy Services, Inc. to INF during the two years prior to

Petition Date, and for damages against the fraudulent transferees of Policy Services, Inc. during the applicable time period.

38. The INF 548 Transfers in the amount of \$90,544.91 occurred within two years prior to Petition Date. Exhibit D. The INF 548 Transfers constitute a transfer of Policy Services, Inc.'s interest in property. The INF 548 Transfers were not made in exchange for adequate consideration for Debtor Policy Services, Inc.

39. Policy Services, Inc. was insolvent at the time of its creation and at the time of each of the INF 548 Transfers or became insolvent as a result of the INF 548 Transfers, in that (a) the sum of Policy Services, Inc.'s debts were greater than all of Policy Services, Inc.'s assets at a fair valuation; (b) Policy Services, Inc. was engaging in or about to engage in a business or transaction for which Policy Services, Inc.'s remaining assets were unreasonably small in relation to the business or transaction; or (c) Policy Services, Inc. was intending to incur, or believed or reasonably should have believed that it would incur debts beyond its ability to pay such debts as they became due.

40. There was no capital infusion at the time Policy Services, Inc. was created.

41. Policy Services, Inc. did not receive reasonably equivalent value in exchange for the INF 548 Transfers.

42. The INF 548 Transfers constituted fraudulent transfers under 11 U.S.C. §548(a)(1)(B), such that the Trustee is entitled to a judgment in the amount of \$90,544.91 on behalf of Debtor Policy Services, Inc. to recover the INF 548 Transfers from INF.

**Count 3 – Avoidance of Fraudulent Transfer under 11 U.S.C. § 544 and the Texas Uniform Fraudulent Transfer Act (Tex. Bus. & Com. Code § 24.005(a)(1)) (INF) (TUFTA Actual Fraud)**

43. Pursuant to 11 U.S.C. § 544 and Tex. Bus. & Com. Code § 24.005(a)(1), the Trustee files this complaint seeking to avoid fraudulent transfers of property of Policy Services, Inc. to

INF during the applicable time period, and for damages against the fraudulent transferees of Policy Services, Inc. during the applicable time period.

44. The INF 544 Transfers in the amount of \$555,151.91 took place between July 13, 2016 and March 18, 2021. Exhibit B. The INF 544 Transfers constitute transfers of Debtor Policy Services, Inc.'s interest in property.

45. The INF 544 Transfers were made with an actual intent to hinder, delay or defraud Debtor Policy Services, Inc.'s creditors. The deeproot Entities were operated as a Ponzi scheme in which interest and dividend payments to earlier investors were paid using new investor money, thus paying fictitious profits for earlier investors.

46. Debtor Policy Services, Inc. was insolvent at the time of its creation and at the time of each of the INF 544 Transfers or became insolvent as a result of the INF 544 Transfers, in that (a) the sum of Policy Services, Inc.'s debts were greater than all of Policy Services, Inc.'s assets at a fair valuation; (b) Policy Services, Inc. was engaging in or about to engage in a business or transaction for which Policy Services, Inc.'s remaining assets were unreasonably small in relation to the business or transaction; or (c) Policy Services, Inc. was intending to incur, or believed or reasonably should have believed that it would incur debts beyond its ability to pay such debts as they became due.

47. There was no capital infusion at the time Policy Services, Inc. was created.

48. Policy Services, Inc. had at least one outstanding creditor at the time of each of the INF 544 Transfers, or such creditor's claim arose within a reasonable time after the INF 544 Transfers. Proof of Claim No. 145-1 of the Policy Services, Inc. Claims Register filed by creditor Jill Ellis reflecting a claim dating back to March 25, 2013 evidenced by a life settlement certificate purchased on the same date. Proof of Claim No. 145-1 remains unpaid.

49. Pursuant to Tex. Bus. & Com. Code § 24.010(a)(1), the Trustee brings this action within one year of the date Trustee discovered or could reasonably have discovered the INF 544 Transfers. The INF 544 Transfers constituted fraudulent transfers under 11 U.S.C. § 544 and Tex. Bus. & Com. Code § 24.005(a)(1), such that the Trustee is entitled to a judgment in the amount of \$555,151.91 to recover the INF 544 Transfers from INF.

**Count 4 – Avoidance of Fraudulent Transfer under 11 U.S.C. § 544 and the Texas Uniform Fraudulent Transfer Act (Tex. Bus. & Com. Code § 24.005(a)(2)) (INF) (TUFTA Constructive Fraud)**

50. Pursuant to 11 U.S.C. § 544 and Tex. Bus. & Com. Code § 24.005(a)(2), the Trustee files this complaint seeking to avoid fraudulent transfers of property of Policy Services, Inc. to INF during the applicable time period, and for damages against the fraudulent transferees of Policy Services, Inc. during the applicable time period.

51. The INF 544 CF Transfers in the amount of \$503,115.91 took place within the four years preceding Petition Date. Exhibit C. The INF 544 CF Transfers constitute transfers of Debtor Policy Services, Inc.'s interest in property.

52. The INF 544 CF Transfers were not made in exchange for adequate consideration for Debtor Policy Services, Inc.

53. Debtor Policy Services, Inc. was insolvent at the time of its creation and at the time of each of the INF 544 CF Transfers or became insolvent as a result of the INF 544 CF Transfers, in that (a) the sum of Policy Services, Inc.'s debts were greater than all of Policy Services, Inc.'s assets at a fair valuation; (b) Policy Services, Inc. was engaging in or about to engage in a business or transaction for which Policy Services, Inc.'s remaining assets were unreasonably small in relation to the business or transaction; or (c) Policy Services, Inc. was intending to incur, or believed or reasonably should have believed that it would incur debts beyond its ability to pay such debts as they became due.

54. There was no capital infusion at the time Policy Services, Inc. was created.

55. Policy Services, Inc. had at least one outstanding creditor at the time of each of the INF 544 CF Transfers, or such creditor's claim arose within a reasonable time after the INF 544 CF Transfers. Proof of Claim No. 145-1 of the Policy Services, Inc. Claims Register filed by creditor Jill Ellis reflecting a claim dating back to March 25, 2013, evidenced by a life settlement certificate purchased on the same date. Proof of Claim No. 145-1 remains unpaid.

56. The INF 544 CF Transfers constituted fraudulent transfers under 11 U.S.C. § 544 and Tex. Bus. & Com. Code § 24.005(a)(1), such that the Trustee is entitled to a judgment in the amount of \$503,115.91 to recover the INF 544 CF Transfers from INF.

**Count 5 – Recovery of Avoided Transfers Pursuant to 11 U.S.C. § 550 and the Texas Uniform Fraudulent Transfer Act (Tex. Bus. & Com. Code § 24.005(a)(1)) (All Defendants)**

57. INF was the initial transferee of the INF 548 Transfers, the INF 544 Transfers, and the INF 544 CF Transfers.

58. The Trustee is entitled to recover from INF, or any immediate or mediate transferee of INF, the INF 548 Transfers, the INF 544 Transfers, the INF 544 CF Transfers, or the value of the INF 548 Transfers, the INF 544 Transfers, the INF 544 CF Transfers, along with prejudgment and post-judgment interest, pursuant to section 550 of the Bankruptcy Code and section 24.009(b) of the Texas Business and Commerce Code.

**Count 6 – Recovery of Attorneys' Fees and Costs**

59. The Trustee has been forced to incur attorneys' fees and costs in connection with the filing and prosecution of this Complaint.

60. Pursuant to 11 U.S.C. § 544 and section 24.013 of the Texas Business and Commerce Code, the Court may award costs and reasonable attorneys' fees in connection with a proceeding brought under the Texas Uniform Fraudulent Transfer Act. The Trustee hereby

requests that any judgment include an award of the costs and attorneys' fees incurred by the Trustee in connection with prosecuting this Complaint.

61. Prior to filing suit, the Trustee has made demand on the Defendants. The demand has not been met. All conditions precedent to filing suit have been satisfied.

62. Furthermore, the Trustee requests an award for such attorneys' fees and costs incurred in the filing and prosecution of this Complaint to the extent allowed under the Bankruptcy Code and Texas law. *See* 11 U.S.C. §§ 105(a), 544, 550(a), and Tex. Bus. & Com. Code § 24.013.

### **PRAYER**

WHEREFORE, JOHN PATRICK LOWE, Chapter 7 Trustee for the jointly administered Bankruptcy case of deeproot Capital Management, LLC, prays for judgment in favor of the Chapter 7 Trustee on all claims and relief sought herein, including but not limited to:

- a. Avoiding the INF 548 Transfers, the INF 544 Transfers, and the INF 544 CF Transfers, as fraudulent transfers under sections 544 and 548 of the Bankruptcy Code and Chapter 24 of the Texas Business & Commerce Code;
- b. Permitting recovery of the INF 548 Transfers, the INF 544 Transfers, and the INF 544 CF Transfers, or the value of the INF 548 Transfers, the INF 544 Transfers, and the INF 544 CF Transfers, along with prejudgment and post-judgment interest;
- c. Permitting recovery of all costs and attorneys' fees incurred obtaining the relief sought; and
- d. Such other and further relief, at law or in equity, as the Court deems to be just, proper, and equitable.

Dated: December 7, 2022

Respectfully submitted,

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**ATTORNEYS FOR JOHN PATRICK LOWE,  
CHAPTER 7 TRUSTEE FOR DEEPROOT CAPITAL  
MANAGEMENT, LLC ET AL.**



# **EXHIBIT A**



Source: Board of Governors of the Federal Reserve System (US)

fred.stlouisfed.org

# **EXHIBIT B**

		Type	Date	Num	Name	Memo	Clr	Split	Amount
Policy Services	Finders Fee	Check	07/13/2016		INF Solutions LLC			Corporate Checking 8461	12,000.00
Policy Services	Finders Fee	Check	07/15/2016		INF Solutions LLC	inf		Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	08/16/2016		INF Solutions LLC			Corporate Checking 8461	9,500.00
Policy Services	Commission Expense	Check	09/26/2016		INF Solutions LLC			Corporate Checking 8461	4,500.00
Policy Services	Finders Fee	Check	12/01/2016		INF Solutions LLC			Corporate Checking 8461	4,000.00
Policy Services	Finders Fee	Check	06/22/2017		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	08/28/2017		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	01/12/2018		INF Solutions LLC			Corporate Checking 8461	40,000.00
Policy Services	Finders Fee	Check	02/13/2018		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	05/14/2018		INF Solutions LLC			Corporate Checking 8461	27,000.00
Policy Services	Finders Fee	Check	06/06/2018		INF Solutions LLC			Corporate Checking 8461	9,000.00
Policy Services	Finders Fee	Check	07/31/2018		INF Solutions LLC			Corporate Checking 8461	10,500.00
Policy Services	Finders Fee	Check	08/10/2018		INF Solutions LLC			Corporate Checking 8461	29,500.00
Policy Services	Finders Fee	Check	09/04/2018		INF Solutions LLC			Corporate Checking 8461	4,000.00
Policy Services	Finders Fee	Check	09/17/2018		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	09/24/2018		INF Solutions LLC			Corporate Checking 8461	3,000.00
Policy Services	Finders Fee	Check	11/13/2018		INF Solutions LLC			Corporate Checking 8461	10,000.00
Policy Services	Finders Fee	Check	11/26/2018		INF Solutions LLC			Corporate Checking 8461	39,321.00
Policy Services	Finders Fee	Check	01/02/2019		INF Solutions LLC			Corporate Checking 8461	12,000.00
Policy Services	Finders Fee	Check	02/08/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	02/19/2019		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	03/12/2019		INF Solutions LLC			Corporate Checking 8461	11,500.00
Policy Services	Finders Fee	Check	03/12/2019		INF Solutions LLC			Corporate Checking 8461	25,500.00
Policy Services	Finders Fee	Check	03/12/2019		INF Solutions LLC			Corporate Checking 8461	4,250.00
Policy Services	Finders Fee	Check	04/03/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	04/29/2019		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	04/29/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	05/09/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	06/06/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	06/17/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	06/26/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	07/01/2019		INF Solutions LLC			Corporate Checking 8461	4,000.00
Policy Services	Finders Fee	Check	07/01/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	08/08/2019		INF Solutions LLC			Corporate Checking 8461	10,000.00
Policy Services	Finders Fee	Check	08/08/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	08/15/2019		INF Solutions LLC			Corporate Checking 8461	26,250.00
Policy Services	Finders Fee	Check	09/03/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	09/09/2019		INF Solutions LLC			Corporate Checking 8461	42,000.00
Policy Services	Finders Fee	Check	09/09/2019		INF Solutions LLC			Corporate Checking 8461	12,000.00
Policy Services	Finders Fee	Check	09/09/2019		INF Solutions LLC			Corporate Checking 8461	11,250.00
Policy Services	Finders Fee	Check	10/02/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	10/18/2019		INF Solutions LLC	Gray		Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	11/07/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	12/06/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	12/26/2019		INF Solutions LLC			Corporate Checking 8461	17,500.00
Policy Services	Finders Fee	Check	12/30/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	01/06/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	02/10/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	02/10/2020		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	02/14/2020		INF Solutions LLC			Corporate Checking 8461	1,700.00
Policy Services	Finders Fee	Check	03/02/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	03/03/2020		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	04/29/2020		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	05/29/2020		INF Solutions LLC			Corporate Checking 8461	8,750.00
Policy Services	Finders Fee	Check	10/30/2020		INF Solutions LLC			Corporate Checking 8461	3,050.00
Policy Services	Finders Fee	Check	11/09/2020		INF Solutions LLC			Corporate Checking 8461	12,163.04
Policy Services	Finders Fee	Check	12/11/2020		INF Solutions LLC			Corporate Checking 8461	6,033.09
Policy Services	Finders Fee	Check	12/22/2020		INF Solutions LLC			Corporate Checking 8461	3,198.78
Policy Services	Finders Fee	Check	03/18/2021		INF Solutions LLC	WF Direct Pay - Ross to Raph		Corporate Checking 8461	2,650.00
INF 544 Transfers Total									555,115.91

# EXHIBIT C


		Type	Date	Num	Name	Memo	Clr	Split	Amount
Policy Services	Finders Fee	Check	01/12/2018		INF Solutions LLC			Corporate Checking 8461	40,000.00
Policy Services	Finders Fee	Check	02/13/2018		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	05/14/2018		INF Solutions LLC			Corporate Checking 8461	27,000.00
Policy Services	Finders Fee	Check	06/06/2018		INF Solutions LLC			Corporate Checking 8461	9,000.00
Policy Services	Finders Fee	Check	07/31/2018		INF Solutions LLC			Corporate Checking 8461	10,500.00
Policy Services	Finders Fee	Check	08/10/2018		INF Solutions LLC			Corporate Checking 8461	29,500.00
Policy Services	Finders Fee	Check	09/04/2018		INF Solutions LLC			Corporate Checking 8461	4,000.00
Policy Services	Finders Fee	Check	09/17/2018		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	09/24/2018		INF Solutions LLC			Corporate Checking 8461	3,000.00
Policy Services	Finders Fee	Check	11/13/2018		INF Solutions LLC			Corporate Checking 8461	10,000.00
Policy Services	Finders Fee	Check	11/26/2018		INF Solutions LLC			Corporate Checking 8461	39,321.00
Policy Services	Finders Fee	Check	01/02/2019		INF Solutions LLC			Corporate Checking 8461	12,000.00
Policy Services	Finders Fee	Check	02/08/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	02/19/2019		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	03/12/2019		INF Solutions LLC			Corporate Checking 8461	11,500.00
Policy Services	Finders Fee	Check	03/12/2019		INF Solutions LLC			Corporate Checking 8461	25,500.00
Policy Services	Finders Fee	Check	03/12/2019		INF Solutions LLC			Corporate Checking 8461	4,250.00
Policy Services	Finders Fee	Check	04/03/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	04/29/2019		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	04/29/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	05/09/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	06/06/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	06/17/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	06/26/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	07/01/2019		INF Solutions LLC			Corporate Checking 8461	4,000.00
Policy Services	Finders Fee	Check	07/01/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	08/08/2019		INF Solutions LLC			Corporate Checking 8461	10,000.00
Policy Services	Finders Fee	Check	08/08/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	08/15/2019		INF Solutions LLC			Corporate Checking 8461	26,250.00
Policy Services	Finders Fee	Check	09/03/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	09/09/2019		INF Solutions LLC			Corporate Checking 8461	42,000.00
Policy Services	Finders Fee	Check	09/09/2019		INF Solutions LLC			Corporate Checking 8461	12,000.00
Policy Services	Finders Fee	Check	09/09/2019		INF Solutions LLC			Corporate Checking 8461	11,250.00
Policy Services	Finders Fee	Check	10/02/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	10/18/2019		INF Solutions LLC	Gray		Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	11/07/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	12/06/2019		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	12/26/2019		INF Solutions LLC			Corporate Checking 8461	17,500.00
Policy Services	Finders Fee	Check	12/30/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	01/06/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	02/10/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	02/10/2020		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	02/14/2020		INF Solutions LLC			Corporate Checking 8461	1,700.00
Policy Services	Finders Fee	Check	03/02/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	03/03/2020		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	04/29/2020		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	05/29/2020		INF Solutions LLC			Corporate Checking 8461	8,750.00
Policy Services	Finders Fee	Check	10/30/2020		INF Solutions LLC			Corporate Checking 8461	3,050.00
Policy Services	Finders Fee	Check	11/09/2020		INF Solutions LLC			Corporate Checking 8461	12,163.04
Policy Services	Finders Fee	Check	12/11/2020		INF Solutions LLC			Corporate Checking 8461	6,033.09
Policy Services	Finders Fee	Check	12/22/2020		INF Solutions LLC			Corporate Checking 8461	3,198.78
Policy Services	Finders Fee	Check	03/18/2021		INF Solutions LLC	WF Direct Pay - Ross to Raph		Corporate Checking 8461	2,650.00
INF 544 CF Transfers Total									503,115.91

# **EXHIBIT D**

		Type	Date	Num	Name	Memo	Clr	Split	Amount
Policy Services	Finders Fee	Check	12/26/2019		INF Solutions LLC			Corporate Checking 8461	17,500.00
Policy Services	Finders Fee	Check	12/30/2019		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	01/06/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	02/10/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	02/10/2020		INF Solutions LLC			Corporate Checking 8461	7,000.00
Policy Services	Finders Fee	Check	02/14/2020		INF Solutions LLC			Corporate Checking 8461	1,700.00
Policy Services	Finders Fee	Check	03/02/2020		INF Solutions LLC			Corporate Checking 8461	1,500.00
Policy Services	Finders Fee	Check	03/03/2020		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	04/29/2020		INF Solutions LLC			Corporate Checking 8461	8,000.00
Policy Services	Finders Fee	Check	05/29/2020		INF Solutions LLC			Corporate Checking 8461	8,750.00
Policy Services	Finders Fee	Check	10/30/2020		INF Solutions LLC			Corporate Checking 8461	3,050.00
Policy Services	Finders Fee	Check	11/09/2020		INF Solutions LLC			Corporate Checking 8461	12,163.04
Policy Services	Finders Fee	Check	12/11/2020		INF Solutions LLC			Corporate Checking 8461	6,033.09
Policy Services	Finders Fee	Check	12/22/2020		INF Solutions LLC			Corporate Checking 8461	3,198.78
Policy Services	Finders Fee	Check	03/18/2021		INF Solutions LLC	WF Direct Pay - Ross to Raph		Corporate Checking 8461	2,650.00
					INF 548 Transfers Total				90,544.91



# **EXHIBIT E**



Texas Secretary of State

John B. Scott

UCC

Business Organizations

Trademarks

Notary

Account

Help/Fees

Briefcase

Logout

BUSINESS ORGANIZATIONS INQUIRY - VIEW ENTITY

Filing Number:

802136709

Entity Type:

Domestic Limited Liability Company (LLC)

Original Date of Filing:

January 15, 2015

Entity Status:

In existence

Formation Date:

N/A

FEIN:

Tax ID:

32056144499

Duration:

Perpetual

Name:

INF Solutions LLC

Address:

16880 STUEBNER AIRLINE RD STE A  
SPRING, TX 77379-6256 USA

<a href="#">REGISTERED AGENT</a>	<a href="#">FILING HISTORY</a>	<a href="#">NAMES</a>	<a href="#">MANAGEMENT</a>	<a href="#">ASSUMED NAMES</a>	<a href="#">ASSOCIATED ENTITIES</a>	<a href="#">INITIAL ADDRESS</a>
Name	Address		Inactive Date			
Raphael Vallier	16878 Stuebener Airline Rd Spring, TX 77379 USA					

Instructions:

- To place an order for additional information about a filing press the 'Order' button.

SOSDirect - Business Filings

Business Copies and Certificates

Uniform Commercial Code

Texas Businesses Against Trafficking

Texas.gov

VoteTexas.gov - Voter Information

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Open Records

Contact us

Texas Homeland Security

Where the Money Goes

Fraud Reporting

https://direct.sos.state.tx.us/...t=1&:Spagfrom=&:Norder\_item\_type\_id=10&:Ncorp\_type\_id=&:Nfiling\_type\_id=&:Nunder\_lp\_entity\_type=[7/14/2022 8:08:09 PM]



# Texas Secretary of State

## John B. Scott

[UCC](#) [Business Organizations](#) [Trademarks](#) [Notary](#) [Account](#) [Help/Fees](#) [Briefcase](#)

[Logout](#)

### BUSINESS ORGANIZATIONS INQUIRY - VIEW ENTITY

**Filing Number:** 802136709 **Entity Type:** Domestic Limited Liability Company (LLC)  
**Original Date of Filing:** January 15, 2015 **Entity Status:** In existence  
**Formation Date:** N/A  
**Tax ID:** 32056144499 **FEIN:**  
**Duration:** Perpetual  
**Name:** INF Solutions LLC  
**Address:** 16880 STUEBNER AIRLINE RD STE A  
SPRING, TX 77379-6256 USA

<a href="#">REGISTERED AGENT</a>	<a href="#">FILING HISTORY</a>	<a href="#">NAMES</a>	<a href="#">MANAGEMENT</a>	<a href="#">ASSUMED NAMES</a>	<a href="#">ASSOCIATED ENTITIES</a>	<a href="#">INITIAL ADDRESS</a>
View Image	Document Number	Filing Type	Filing Date	Effective Date	Eff. Cond	Page Count
	586898740014	Certificate of Formation	January 15, 2015	January 15, 2015	No	2
	738315270001	Public Information Report (PIR)	December 31, 2016	May 17, 2017	No	1
	792642421039	Tax Forfeiture	February 2, 2018	February 2, 2018	No	1
	815210880002	Reinstatement	May 24, 2018	May 24, 2018	No	1
	816750720002	Certificate of Amendment	June 4, 2018	June 4, 2018	No	3
	855606350001	Public Information Report (PIR)	December 31, 2018	December 13, 2018	No	1
	1126985140001	Public Information Report (PIR)	December 31, 2021	March 7, 2022	No	1

#### Instructions:

- To place an order for additional information about a filing press the 'Order' button.

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- Business Copies and Certificates
- Uniform Commercial Code
- Texas Businesses Against Trafficking
- VoteTexas.gov - Voter Information
- Register to Vote & Voter I.D.
- Website Policies
- Open Records





Texas Secretary of State

John B. Scott

UCC

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Logout

BUSINESS ORGANIZATIONS INQUIRY - VIEW ENTITY

Filing Number:

802136709

Entity Type:

Domestic Limited Liability Company (LLC)

Original Date of Filing:

January 15, 2015

Entity Status:

In existence

Formation Date:

N/A

FEIN:

Tax ID:

32056144499

Duration:

Perpetual

Name:

INF Solutions LLC

Address:


16880 STUEBNER AIRLINE RD STE A  
SPRING, TX 77379-6256 USA

<a href="#">REGISTERED AGENT</a>	<a href="#">FILING HISTORY</a>	<a href="#">NAMES</a>	<a href="#">MANAGEMENT</a>	<a href="#">ASSUMED NAMES</a>	<a href="#">ASSOCIATED ENTITIES</a>	<a href="#">INITIAL ADDRESS</a>
Last Update	Name	Title	Address			
December 13, 2018	TERRY WILLIAMS	MANAGER	16880 STUEBNER AIRLINE RD. STE.A SPRING, TX 77379 USA			
December 13, 2018	RAPHAEL VALLIER	MANAGER	340 N SAM HOUSTON PKWY. EAST STE #2 HOUSTON, TX 77060 USA			

Instructions:

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- SOSDirect - Business Filings
  - Business Copies and Certificates
  - Uniform Commercial Code
  - Texas Businesses Against Trafficking
  - Texas.gov
  - Texas Homeland Security
- VoteTexas.gov - Voter Information
  - Register to Vote & Voter I.D.
  - Website Policies
  - Open Records
  - Contact us



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BUSINESS ORGANIZATIONS INQUIRY - VIEW ENTITY

Filing Number:

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Entity Type:

Domestic Limited Liability Company (LLC)

Original Date of Filing:

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Formation Date:

N/A

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Tax ID:

32056144499

Duration:

Perpetual

Name:


INF Solutions LLC

Address:

16880 STUEBNER AIRLINE RD STE A  
SPRING, TX 77379-6256 USA

<a href="#">REGISTERED AGENT</a>	<a href="#">FILING HISTORY</a>	<a href="#">NAMES</a>	<a href="#">MANAGEMENT</a>	<a href="#">ASSUMED NAMES</a>	<a href="#">ASSOCIATED ENTITIES</a>	<a href="#">INITIAL ADDRESS</a>
Assumed Name      Date of Filing      Expiration Date      Inactive Date      Name Status      Counties						
No names exist for this filing.						

Instructions:

 To place an order for additional information about a filing press the 'Order' button.

• SOSDirect - Business Filings

• Business Copies and Certificates

• Uniform Commercial Code

• Texas Businesses Against Trafficking

• Texas.gov

• Texas Homeland Security

• Where the Money Goes

• Fraud Reporting

• VoteTexas.gov - Voter Information


• Register to Vote & Voter I.D.

• Website Policies

• Open Records

• Contact us

https://direct.sos.state.tx.us/...filing\_number=802136709&:Ndocument\_number=1162468210002&:Npgcurrent=1&:Norder\_item\_type\_id=10[7/14/2022 8:08:51 PM]



Texas Secretary of State

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BUSINESS ORGANIZATIONS INQUIRY - VIEW ENTITY

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Entity Type:

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Tax ID:

32056144499

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Name:

INF Solutions LLC

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<a href="#">REGISTERED AGENT</a>	<a href="#">FILING HISTORY</a>	<a href="#">NAMES</a>	<a href="#">MANAGEMENT</a>	<a href="#">ASSUMED NAMES</a>	<a href="#">ASSOCIATED ENTITIES</a>	<a href="#">INITIAL ADDRESS</a>
Name	Entity Type	Document Description	Filing Date	Entity Filing Number	Jurisdiction	Capacity
There are no documents listed for this entity which match your inquiry.						

Instructions:

- To place an order for additional information about a filing press the 'Order' button.

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Business Copies and Certificates

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Fraud Reporting

https://direct.sos.state.tx.us/...filing\_number=802136709&:Ndocument\_number=1162468210002&:Npgcurrent=1&:Norder\_item\_type\_id=10[7/14/2022 8:09:02 PM]

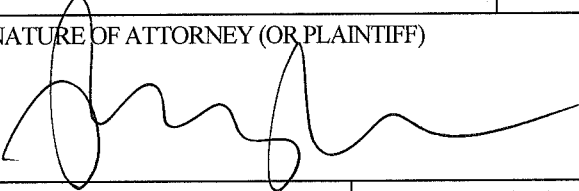




FORM 104 (10/06)

<b>ADVERSARY PROCEEDING COVER SHEET</b> (Instructions on Reverse)		<b>ADVERSARY PROCEEDING NUMBER</b> (Court Use Only)		
<b>PLAINTIFFS</b> John Patrick Lowe, Chapter 7 Trustee	<b>DEFENDANTS</b> INF Solutions, LLC, Scott Milbourne, et al.			
<b>ATTORNEYS</b> (Firm Name, Address, and Telephone No.) Pulman Cappuccio & Pullen, LLP (210) 222-9494 2161 NW Military Hwy #400, San Antonio, TX 78213	<b>ATTORNEYS</b> (If Known)			
<b>PARTY</b> (Check One Box Only) <input type="checkbox"/> Debtor <input type="checkbox"/> U.S. Trustee/Bankruptcy Admin <input type="checkbox"/> Creditor <input type="checkbox"/> Other <input checked="" type="checkbox"/> Trustee	<b>PARTY</b> (Check One Box Only) <input type="checkbox"/> Debtor <input type="checkbox"/> U.S. Trustee/Bankruptcy Admin <input type="checkbox"/> Creditor <input checked="" type="checkbox"/> Other <input type="checkbox"/> Trustee			
<b>CAUSE OF ACTION</b> (WRITE A BRIEF STATEMENT OF CAUSE OF ACTION, INCLUDING ALL U.S. STATUTES INVOLVED) Trustee seeks recovery of fraudulent transfers under 11 USC Sections 544, 548, 550, TUFTA and seeks recovery of attorneys' fees.				
<b>NATURE OF SUIT</b> (Number up to five (5) boxes starting with lead cause of action as 1, first alternative cause as 2, second alternative cause as 3, etc.)				
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; border: none;"> <b>FRBP 7001(1) – Recovery of Money/Property</b>  <input type="checkbox"/> 11-Recovery of money/property - §542 turnover of property  <input type="checkbox"/> 12-Recovery of money/property - §547 preference  <input checked="" type="checkbox"/> 13-Recovery of money/property - §548 fraudulent transfer  <input checked="" type="checkbox"/> 14-Recovery of money/property - other - Section 544  <div style="text-align: center;">Section 550</div> <b>FRBP 7001(2) – Validity, Priority or Extent of Lien</b>  <input type="checkbox"/> 21-Validity, priority or extent of lien or other interest in property   <b>FRBP 7001(3) – Approval of Sale of Property</b>  <input type="checkbox"/> 31-Approval of sale of property of estate and of a co-owner - §363(h)   <b>FRBP 7001(4) – Objection/Revocation of Discharge</b>  <input type="checkbox"/> 41-Objection / revocation of discharge - §727(c),(d),(e)   <b>FRBP 7001(5) – Revocation of Confirmation</b>  <input type="checkbox"/> 51-Revocation of confirmation   <b>FRBP 7001(6) – Dischargeability</b>  <input type="checkbox"/> 66-Dischargeability - §523(a)(1),(14),(14A) priority tax claims  <input type="checkbox"/> 62-Dischargeability - §523(a)(2), false pretenses, false representation, actual fraud  <input type="checkbox"/> 67-Dischargeability - §523(a)(4), fraud as fiduciary, embezzlement, larceny  <div style="text-align: center;">(continued next column)</div> </td> <td style="width: 50%; vertical-align: top; border: none;"> <b>FRBP 7001(6) – Dischargeability (continued)</b>  <input type="checkbox"/> 61-Dischargeability - §523(a)(5), domestic support  <input type="checkbox"/> 68-Dischargeability - §523(a)(6), willful and malicious injury  <input type="checkbox"/> 63-Dischargeability - §523(a)(8), student loan  <input type="checkbox"/> 64-Dischargeability - §523(a)(15), divorce or separation obligation (other than domestic support)  <input type="checkbox"/> 65-Dischargeability - other   <b>FRBP 7001(7) – Injunctive Relief</b>  <input type="checkbox"/> 71-Injunctive relief – reinstatement of stay  <input type="checkbox"/> 72-Injunctive relief – other   <b>FRBP 7001(8) Subordination of Claim or Interest</b>  <input type="checkbox"/> 81-Subordination of claim or interest   <b>FRBP 7001(9) Declaratory Judgment</b>  <input type="checkbox"/> 91-Declaratory judgment   <b>FRBP 7001(10) Determination of Removed Action</b>  <input type="checkbox"/> 01-Determination of removed claim or cause   <b>Other</b>  <input type="checkbox"/> SS-SIPA Case – 15 U.S.C. §§78aaa <i>et seq.</i>  <input checked="" type="checkbox"/> 02-Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy case) - TUFTA           </td> </tr> </table>			<b>FRBP 7001(1) – Recovery of Money/Property</b> <input type="checkbox"/> 11-Recovery of money/property - §542 turnover of property <input type="checkbox"/> 12-Recovery of money/property - §547 preference <input checked="" type="checkbox"/> 13-Recovery of money/property - §548 fraudulent transfer <input checked="" type="checkbox"/> 14-Recovery of money/property - other - Section 544 <div style="text-align: center;">Section 550</div> <b>FRBP 7001(2) – Validity, Priority or Extent of Lien</b> <input type="checkbox"/> 21-Validity, priority or extent of lien or other interest in property  <b>FRBP 7001(3) – Approval of Sale of Property</b> <input type="checkbox"/> 31-Approval of sale of property of estate and of a co-owner - §363(h)  <b>FRBP 7001(4) – Objection/Revocation of Discharge</b> <input type="checkbox"/> 41-Objection / revocation of discharge - §727(c),(d),(e)  <b>FRBP 7001(5) – Revocation of Confirmation</b> <input type="checkbox"/> 51-Revocation of confirmation  <b>FRBP 7001(6) – Dischargeability</b> <input type="checkbox"/> 66-Dischargeability - §523(a)(1),(14),(14A) priority tax claims <input type="checkbox"/> 62-Dischargeability - §523(a)(2), false pretenses, false representation, actual fraud <input type="checkbox"/> 67-Dischargeability - §523(a)(4), fraud as fiduciary, embezzlement, larceny <div style="text-align: center;">(continued next column)</div>	<b>FRBP 7001(6) – Dischargeability (continued)</b> <input type="checkbox"/> 61-Dischargeability - §523(a)(5), domestic support <input type="checkbox"/> 68-Dischargeability - §523(a)(6), willful and malicious injury <input type="checkbox"/> 63-Dischargeability - §523(a)(8), student loan <input type="checkbox"/> 64-Dischargeability - §523(a)(15), divorce or separation obligation (other than domestic support) <input type="checkbox"/> 65-Dischargeability - other  <b>FRBP 7001(7) – Injunctive Relief</b> <input type="checkbox"/> 71-Injunctive relief – reinstatement of stay <input type="checkbox"/> 72-Injunctive relief – other  <b>FRBP 7001(8) Subordination of Claim or Interest</b> <input type="checkbox"/> 81-Subordination of claim or interest  <b>FRBP 7001(9) Declaratory Judgment</b> <input type="checkbox"/> 91-Declaratory judgment  <b>FRBP 7001(10) Determination of Removed Action</b> <input type="checkbox"/> 01-Determination of removed claim or cause  <b>Other</b> <input type="checkbox"/> SS-SIPA Case – 15 U.S.C. §§78aaa <i>et seq.</i> <input checked="" type="checkbox"/> 02-Other (e.g. other actions that would have been brought in state court if unrelated to bankruptcy case) - TUFTA
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<input type="checkbox"/> Check if this case involves a substantive issue of state law	<input type="checkbox"/> Check if this is asserted to be a class action under FRCP 23			
<input type="checkbox"/> Check if a jury trial is demanded in complaint	Demand \$ 555,115.91			
Other Relief Sought Attorneys' fees				

FORM 104 (10/06), Page 2

BANKRUPTCY CASE IN WHICH THIS ADVERSARY PROCEEDING ARISES			
NAME OF DEBTOR deeproot Capital Management LLC et al. (JT. Admin.)		BANKRUPTCY CASE NO. LEAD 21-51523	
DISTRICT IN WHICH CASE IS PENDING Western TX	DIVISIONAL OFFICE San Antonio	NAME OF JUDGE Michael M Parker	
RELATED ADVERSARY PROCEEDING (IF ANY)			
PLAINTIFF	DEFENDANT	ADVERSARY PROCEEDING NO.	
DISTRICT IN WHICH ADVERSARY IS PENDING	DIVISIONAL OFFICE	NAME OF JUDGE	
SIGNATURE OF ATTORNEY (OR PLAINTIFF) 			
DATE 12/07/2022	PRINT NAME OF ATTORNEY (OR PLAINTIFF) Randall A Pulman		

### INSTRUCTIONS

The filing of a bankruptcy case creates an "estate" under the jurisdiction of the bankruptcy court which consists of all of the property of the debtor, wherever that property is located. Because the bankruptcy estate is so extensive and the jurisdiction of the court so broad, there may be lawsuits over the property or property rights of the estate. There also may be lawsuits concerning the debtor's discharge. If such a lawsuit is filed in a bankruptcy court, it is called an adversary proceeding.

A party filing an adversary proceeding must also must complete and file Form 104, the Adversary Proceeding Cover Sheet, if it is required by the court. In some courts, the cover sheet is not required when the adversary proceeding is filed electronically through the court's Case Management/Electronic Case Files (CM/ECF) system. (CM/ECF captures the information on Form 104 as part of the filing process.) When completed, the cover sheet summarizes basic information on the adversary proceeding. The clerk of court needs the information to process the adversary proceeding and prepare required statistical reports on court activity.

The cover sheet and the information contained on it do not replace or supplement the filing and service of pleadings or other papers as required by law, the Bankruptcy Rules, or the local rules of court. The cover sheet, which is largely self-explanatory, must be completed by the plaintiff's attorney (or by the plaintiff if the plaintiff is not represented by an attorney). A separate cover sheet must be submitted to the clerk for each complaint filed.

**Plaintiffs and Defendants.** Give the names of the plaintiffs and the defendants exactly as they appear on the complaint.

**Attorneys.** Give the names and addresses of the attorneys, if known.

**Party.** Check the most appropriate box in the first column for the plaintiffs and in the second column for the defendants.

**Demand.** Enter the dollar amount being demanded in the complaint.

**Signature.** This cover sheet must be signed by the attorney of record in the box on the second page of the form. If the plaintiff is represented by a law firm, a member of the firm must sign. If the plaintiff is pro se, that is, not represented by an attorney, the plaintiff must sign.